




Certification of a Serious Health Condition



If you work at Northeastern, you can request a leave through the Paid Leave Program (PLP). The Northeastern Leave Management Team will review all applications to determine your eligibility for benefits. Both the employee who is applying for leave and a health care provider must complete a portion of this certification.

This form **is** required for...

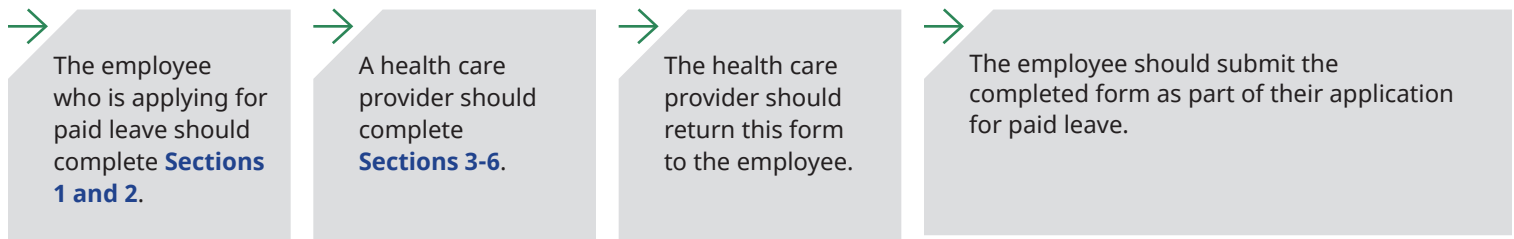
-  **Medical leave**
due to your own serious health condition.
Starts Jan. 1, 2021
-  **Family leave**
to care for a family member with a serious health condition related to military service.
Starts Jan. 1, 2021

-  **Family leave**
to care for a family member with any other serious health condition.
Starts Jan. 1, 2021

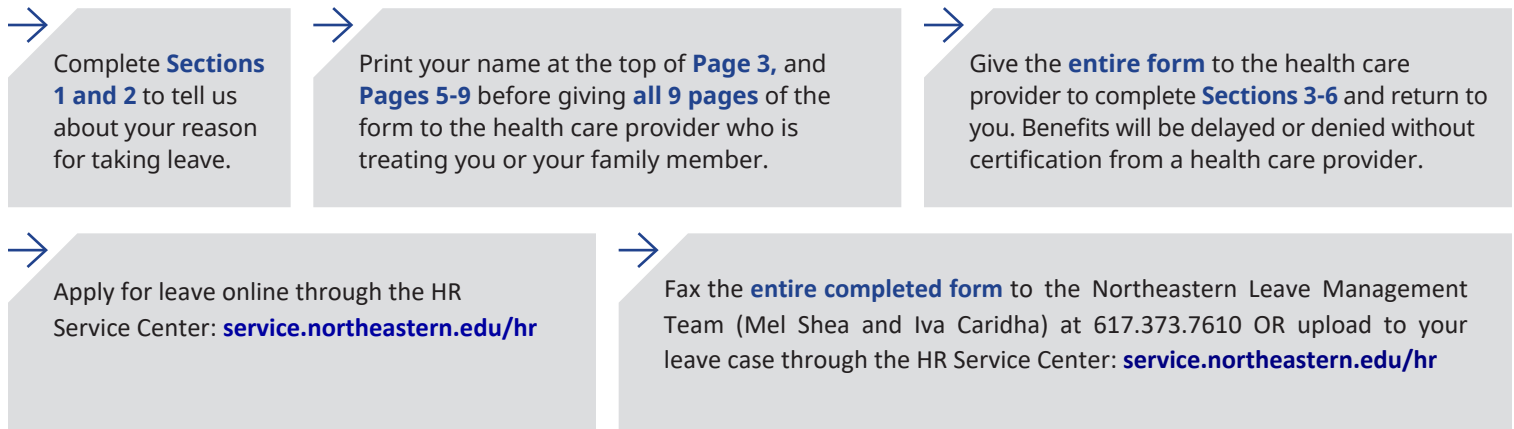
This form is **not** required for...

-  **Parental leave**
to bond with a child 12 months after birth, adoption, or foster care placement.
Starts Jan. 1, 2021
-  **Active duty leave**
to manage family affairs when a family member is in the armed forces.
Starts Jan. 1, 2021

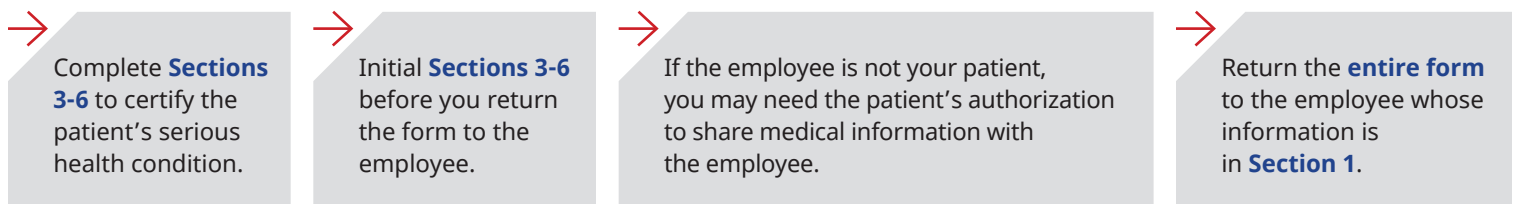
How to use this form



* Employee



+ Health care provider



*The information you provide to Northeastern on this form will be used to administer Paid Leave Program (PLP) benefits. Visit service.northeastern.edu/hr for more information.

1 Employee Applying for Paid Leave

Instructions ▶ The person applying for paid leave from their own job is the employee. As the employee, complete this section with your own information. Northeastern will use **Section 1** to match this certification to the rest of your application for paid leave.

1 Your name: **First** _____ **Last** _____

2 (If different) Your name as it appears on official documents like a driver's license or W-2:

First _____ **Middle** _____ **Last** _____

3 Phone #: (_ _ _) - _ _ _ - _ _ _

4 Date of birth: ^m / ^d / ^y _ _ / _ _ / _ _ _ _

5 Why are you applying for leave?

My own serious health condition

A family member's serious health condition that is related to military service

A family member's serious health condition of any other kind

◀ If you are applying for a family member's serious health condition, you will need to complete **Section 2**

6 Job Title: _____ Work Schedule: _____






7 If you are applying for your own serious health condition, describe your job's physical exertion level.

1 Sedentary 2 Light 3 Medium

4 Heavy 5 Very Heavy N/A

◀ Check only one. Refer to the definitions below.

Levels of exertion

 <p>1 Sedentary</p> <p>Sitting most of the time. Exerting up to 10 pounds of force occasionally to move objects; or a negligible amount of force frequently. <i>E.g., Dispatcher, Receptionist</i></p>	 <p>2 Light</p> <p>Walking or standing frequently, using physical controls while sitting or driving, or working at a production rate pace with lighter materials (e.g., clothing). Exerting up to 20 pounds of force occasionally; or up to 10 pounds of force frequently. <i>E.g., Textile worker, Grocery stocker, Passenger vehicle driver</i></p>	 <p>3 Medium</p> <p>Exerting 20–50 pounds of force occasionally; 10–25 pounds of force frequently; or up to 10 pounds constantly. <i>E.g., Plumber, Electrician</i></p>	 <p>4 Heavy</p> <p>Exerting 50 to 100 pounds of force occasionally; 25–50 pounds of force frequently; or 10–20 pounds constantly. <i>E.g., Construction, Delivery driver</i></p>	 <p>5 Very heavy</p> <p>Exerting over 100 pounds of force occasionally; over 50 pounds of force frequently; or more than 20 pounds of force constantly. <i>E.g., The heaviest construction jobs</i></p>
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* Employee

Employee applying for leave:

Write your name at the top of **all remaining pages**.

2 Patient Information

Instructions ▶ If you indicated that you are applying to care for a family member in **Question 6**, complete **Section 2**. Northeastern needs to know your relationship with the patient to certify leave eligibility. Otherwise, skip this section.

10 The family member who is experiencing a serious health condition is my:

- Child
 Sibling
 Grandchild
 Grandparent
 Spouse or domestic partner
 Spouse's or partner's parent
 Parent

11 Patient's name:

First Last

12 (If different) Patient's name as it appears on official documents such as a driver's license or insurance documents:

First Middle Last

13 Patient's address:

Street

Address line 2

City State | | | Zip | | | | |

14 Date of birth: |^m| |^m| / |^d| |^d| / |^y| |^y| |^y| |^y|

* Employee

STOP HERE. Give this form to the patient's health care provider to complete **Sections 3-6**.

+ HCP

Initial here to indicate you have completed this page: _____

+ Health care provider **READ THIS PAGE** then set it aside so you can refer back to it while filling out the form.

Definition of a serious health condition

A serious health condition could include an illness, injury, impairment or physical or mental condition that involves at least one of the following two conditions:

1. At least one night of inpatient care in a hospital, hospice or residential medical facility
2. Continuing treatment by a health care provider

Inpatient care

An overnight stay in a hospital, hospice, or residential medical care facility, including any period of incapacity, or any subsequent treatment in connection with such inpatient care.

Continuing treatment by a health care provider (plus examples of conditions). Treatment for a condition that fits any of the following descriptions:

- A. Any incapacity to work for more than three consecutive full calendar days that also requires medical visits. The patient's first visit must be within seven days of the start of incapacity. Telehealth appointments are also included. These medical visits must meet one of the following two patterns:
 - Two or more visits within 30 days of a patient's incapacity to work (unless it is impossible to book two appointments in this timeframe).
 - One such visit—excluding a routine physical, eye or dental exam—plus a regimen of care or medication under the provider's supervision or prescription. E.g., outpatient surgery or strep throat.

- B. Any incapacity due to pregnancy or prenatal care.
- C. Any incapacity due to a chronic condition, which is a condition that:
 - Requires periodic medical visits,
 - Continues over an extended period of time, and
 - May cause episodic periods of incapacity that require leave. E.g., asthma or migraine headaches.
- D. Any incapacity due to a permanent or long-term condition that may not respond to treatment. E.g., Alzheimer's disease or terminal stages of cancer.
- E. Any absence to receive multiple treatments, plus any recovery time, for either of the following:
 - Restorative surgery after an accident or injury. E.g., joint replacements or reconstruction.
 - A condition that would lead to more than three consecutive days of incapacity if the patient did not receive treatment. E.g., chemotherapy treatments.

Incapacity

An inability to perform the functions of one's job owing to the serious health condition. For unemployed applicants, it means an inability to perform the functions of their most recent position or other suitable employment.

Details on Section 4, ability to work

Section 4 establishes the start and end of the time period when the employee is incapacitated and will need time off work because of the serious health condition. This date range is the leave period. A leave

period cannot be approved for longer than six months. If the condition requires additional leave after six months or a re-evaluation, the employee can submit a new application at that time with a new certification.

Definition of a health care provider

Health Care Provider:

An individual licensed by the state, commonwealth, or territory in which the individual practices medicine, surgery, dentistry, chiropractic, podiatry, midwifery or osteopathy, and including the following:

- A. Podiatrists, dentists, clinical psychologists, optometrists, and chiropractors (limited to treatment consisting of manual manipulation of the spine to correct a subluxation as demonstrated by X-ray to exist) authorized to practice in a state and within the scope of their practice as defined under the law of that state, commonwealth, or territory;
- B. Nurse practitioners, nurse-midwives, clinical social workers and physician assistants who are authorized to practice under State law and who are within the scope of their practice as defined under the law of that state, commonwealth or territory;
- C. Christian Science Practitioners listed with the First Church of Christ, Scientist in Boston, Massachusetts;
- D. A health care provider listed above who practices in a country other than the United States, who is authorized to practice in accordance with the law of that country, and who is within the scope of practice as defined under such law.

* Employee

Employee applying for leave:

Health Care Provider Certification of a Serious Health Condition

3 Patient's Serious Health Condition

Instructions ▶ This form should be filled out by the healthcare provider of the patient, who may or may not be the employee. For the employee to qualify for paid leave, the patient must have a serious health condition. Answer all questions fully and completely.

16 Does the patient have a serious health condition?

Yes No

17 Which of the following apply to the patient's serious health condition?
The condition:

- Requires, or did require inpatient care.
- Has incapacitated or will incapacitate the patient for more than three consecutive full calendar days.
- Requires two or more medical visits within 30 days.
- Requires one medical visit, plus a regimen of care.
- Is chronic, requires treatments at least twice a year, and may require periodic absences.
- Is long-term and requires ongoing medical supervision, with or without active treatment.
- Requires multiple treatments and would lead to a period of incapacity without treatment.

◀ Check all that apply.

18 Provide appropriate medical facts to allow an understanding of how the condition may affect the patient's ability to work.

◀ Examples may include symptoms, hospitalizations, medical visits, relevant side effects to medication, and referrals for evaluation or treatment.

19 When did the condition begin?

This condition began within the past 12 months.

Start date: | m | m | / | d | d | / | y | y | y | y |

This condition began more than one year ago.

◀ This is the start of the condition, not the start of the employee's leave from their job. If it cannot be determined, provide a start date to the best of your ability.

+ HCP

Initial here to indicate you have completed this page: _____

* Employee

Employee applying for leave:

20 Is the patient's serious health condition a pregnancy-related issue that results in some level of incapacity prior to giving birth?

Yes. Expected delivery date:

| m | m | / | d | d | / | y | y | y | y |

No

◀ This excludes recovery time following birth.

◀ If both apply, account for both in Section 4.

21 Is this health condition a job-related injury?

Yes No

◀ Check only one.

22 If the patient is not the employee, is this health condition related to the patient's military service?

Yes No n/a, the patient is the employee

◀ Check only one.

23 If the patient is not the employee, will the patient require care from a family member?

Yes No n/a, the patient is the employee

◀ Check only one.

4 Ability to Work

Instructions ▶ Provide your best estimate based on your medical knowledge, experience, and examination of the patient. Be as specific as you can be; terms like "unknown" or "indeterminate" may not be enough to approve a claim for paid leave benefits. For more information, refer to the definition of ability to work on [Page 4](#).

24 When will the employee first need to take leave?

Start date: | m | m | / | d | d | / | y | y | y | y |

◀ This is the first day of missed time from work. If any time has already been missed because of this condition, enter the earliest absence.

25 Do you know the last day the employee will need leave for the patient's condition? If you cannot determine this, when do you recommend re-evaluating?

Yes. The last day the employee will need leave is:

| m | m | / | d | d | / | y | y | y | y |

No. The patient's condition should be re-evaluated on:

| m | m | / | d | d | / | y | y | y | y |

◀ Check only one.

+ HCP

Initial here to indicate you have completed this page: _____

* Employee

Employee applying for leave:

26 During this leave period, which of these patterns of leave do you expect the employee to need as a result of the patient's condition?

- Continuous leave:**
Completely unable to work for consecutive, uninterrupted days
- Reduced leave schedule:**
A consistent but reduced schedule for multiple weeks
- Intermittent leave:**
Episodic time off at irregular intervals for flare-ups or unexpected aftercare

◀ Check all that apply.
◀ If the patient is also the employee, answer Questions 26–28. Otherwise, skip to Section 5.

27 What physical exertion level did the employee select in Question 9?

- 1 Sedentary
- 2 Light
- 3 Medium
- 4 Heavy
- 5 Very heavy
- N/A

◀ Check only one. Refer to definitions at the bottom of Page 2.

28 Is your medical opinion that the patient must refrain from working at this level of exertion, either partly or completely, between the dates for Questions 24 and 25?

- Yes No

Describe specific activities the patient should refrain from, either partly or completely, between the dates for Questions 24 and 25, as a result of their serious health condition.

◀ If a patient must be absent from their job for treatment, state this directly. If the patient needs to be absent for any reason other than receiving treatment, describe specific tasks, actions, or functions they cannot perform owing to their condition.

5 Estimate Leave Details

Instructions ▶ For every leave pattern you selected in Question 26, estimate details of that leave below. A patient who exceeds the estimated leave can submit a new application with a new certification for additional leave needs.

PART 5A - CONTINUOUS LEAVE

29 When will the continuous leave period start and end?

Start date: / / -----

End (last day of leave) / re-evaluation date): / / -----

+ HCP

Initial here to indicate you have completed this page: _____

* Employee

Employee applying for leave:

30 During the leave period, how many weeks of continuous full-time leave do you expect the employee will require?

_____ Weeks of continuous leave.

I do not recommend any continuous leave.

◀ Continuous leave is full-time leave taken without interruptions. In answering this question, include any continuous leave that the employee has already taken for this condition. For partial weeks, round up.

PART 5B - REDUCED LEAVE SCHEDULE

31 Not including continuous leave covered in Part 5A, how many weeks of a reduced leave schedule will the employee need during the leave period?

_____ Weeks of a reduced leave schedule

No reduced leave schedule needed

◀ A reduced leave schedule is a consistent schedule that is less than the employee's usual schedule. For example, taking off the same number of hours or days each week.

32 When will the reduced leave schedule start and end?

Start date:

End (last day of leave) / re-evaluation date:

| m | m | / | d | d | / | y | y | y | y | | m | m | / | d | d | / | y | y | y | y |

33 How many hours should the employee take off per week?

_____ Hours of reduced leave schedule No reduced leave schedule needed

PART 5C - INTERMITTENT LEAVE

34 When will the intermittent leave schedule start and end?

Start date:

End (last day of leave) / re-evaluation date:

| m | m | / | d | d | / | y | y | y | y | | m | m | / | d | d | / | y | y | y | y |

35 Not including any leave covered in Part 5B, on average how often will the condition require the employee to be absent from their job?

- No other absences expected
- Once or more per week, approximately _____ Times per week
- Once or more per month, approximately _____ Times per month
- Over the next six months, approximately _____ Times total

36 How long will a single absence typically last?

- No more than one full work day, up to _____ Hours.
- More than one day, up to _____ Days.
- N/A, no intermittent leave

◀ In estimating, consider flare-ups, aftercare, consultations, and other effects of the patient's serious health condition.

+ HCP

Initial here to indicate you have completed this page: _____

* Employee

Employee applying for leave:

6 Provider's Certification & Information

Instructions ▶ Sign and date to agree to this declaration. Provide the relevant licensing and contact information about your practice or business. Before returning the form to the employee, review to be sure you have initialed **Sections 3-5**.



I certify that the information provided in this form is true and correct, that I have examined the patient and answered the questions accurately and to the best of my ability, and that I am a health care provider authorized to certify their condition.

See **Page 4** for the definition of a healthcare provider.

37 Signature: _____ Date | m | m | / | d | d | / | y | y | y | y |

38 Printed name and title:
Name: _____
Title _____

39 Certificate license: _____ State _____

40 Area of practice or medical specialty: _____

41 Name of your practice or business: _____

42 Address: _____

43 Office phone #: (_ _ _) - _ _ _ - _ _ _ _

44 Office fax #: (_ _ _) - _ _ _ - _ _ _ _ (optional)

+ Health care provider

When you have completed and signed the certification, return it to the employee. The employee will submit this information for review by the Northeastern Leave Management Team.

+ HCP

Initial here to indicate you have completed this page: _____